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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Yasushi TANAKA et al.

Serial No.: 10/085,137

Filed: March 1, 2002

For: ENCODING CIRCUIT AND METHOD

SUBMISSION OF ORIGINAL EXECUTED DECLARATION

Commissioner for Patents  
Box Missing Parts  
Washington, D. C. 20231

Sir:

The above-identified application was duly filed on March 1, 2002 without an executed Declaration. Accordingly, submitted herewith is a fully executed Declaration and Assignment of the inventors. Attached is our check for \$1,330.00, which includes \$130.00 to cover the payment of the fee in accordance with 37 CFR 1.16(e), \$40.00 to cover the fee for recordation of the Assignment document, and the remainder to cover the full filing fees.

Entry of these documents should complete all of the filing formalities and fully satisfy the Notice of Missing Parts mailed

PLEASE ACCEPT THIS AS  
AUTHORIZATION TO DEBIT  
OR CREDIT FEES TO  
DEP. ACCT. 16-0331  
PARKHURST & WENDEL

March 22, 2002. Accordingly examination and allowance of the application in due course are respectfully solicited.

All correspondence should be sent to applicants' representative at the address indicated below.

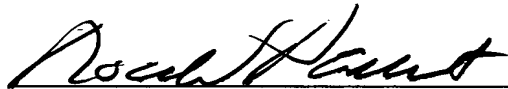
The Commissioner is hereby authorized to charge any additional fee (or credit any overpayment) associated with this communication to Deposit Account No. 16-0331. Two duplicate copies of this letter are attached.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.

24 April 2002  
Date

RWP/jmz

  
Roger W. Parkhurst  
Registration No. 25,177

Attorney Docket No. HYAE:134

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER

10/085,137

FILING/RECEIPT DATE

03/01/2002

FIRST NAMED APPLICANT

Yasushi Tanaka

ATTORNEY DOCKET NUMBER

Hyae : 134

CONFIRMATION NO. 2656

## FORMALITIES LETTER



\*OC00000007700414\*

6160  
PAUL KHURST & WENDEL, L.L.P.  
421 PRINCE STREET  
SUITE 210  
ALEXANDRIA, VA 22314-2805

Date Mailed: 03/22/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$420.
  - \$420 for 5 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1290.**

*A copy of this notice **MUST** be returned with the reply.*

*D. Walsh*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/25/2002 YPQ11E1 00000050 10085137  
740.00 EP  
420.00 EP  
130.00 EP  
01 FC:101  
02 FC:102  
03 FC:105